

# The Emergence of Conventions

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## Overview

Much of our everyday behavior is governed by conventions. The shape of the line we form at the café, the language we use to order our coffee, and the money we use to pay for it are all partly arbitrary but self-sustaining solutions to recurring coordination problems. This definition, first formalized by David Lewis (1969), has provided a potent means of characterizing conventions. For cognitive scientists, however, the outstanding question is how these solutions emerge and adapt in populations of learning, reasoning agents. The aim of this symposium is to gather and integrate several distinct empirical and theoretical perspectives on this question, bridging different domains of application.

There are two primary experimental paradigms used to study the emergence of conventions, each particularly useful for answering certain theoretical questions. The first is an iterated learning or diffusion chain design, where each successive participant produces the data used to train the next participant. Iterated learning experiments have been used, for example, to probe the inductive biases driving the emergence of efficient, compressible structures in language (Kirby, Cornish, & Smith, 2008; Griffiths & Kalish, 2007). The second is a closed group design, drawing from tasks in collective behavior and behavioral game theory, where a single group of two or more participants repeatedly play a coordination game with one another. Because participants directly interact over time, closed group tasks have been useful for capturing the establishment of shared social expectations and the behavioral dynamics of self-organization (Clark & Wilkes-Gibbs, 1986; Goldstone, Roberts, & Gureckis, 2008; Galantucci, 2005).

Recently, these two paradigms have begun to merge, especially within the domain of language evolution, spurring novel models of the pressures and constraints contributed by each mechanism and how they interact to shape stable conventions (Kirby, Tamariz, Cornish, & Smith, 2015; Centola & Baronchelli, 2015). However, many insights from collective behavior and social cognition have not yet been integrated. Moving forward, theoretical questions include sources of variability in convention-formation, the role of active social reasoning vs. emergent inductive biases, and the multiple scales on which conventions are formed; empirical issues include scaling up experiments to encompass larger

populations and developing hybrid paradigms to test domain-generalizability of formation processes.

This set of issues cuts across the interests of many different areas of cognitive science: from linguists concerned with the origins of language, to developmental psychologists interested in how children co-create their culture, to computer scientists attempting to build self-sustaining robot collectives. Because of this breadth and because recent developments have opened up exciting new sources of data and theory, we are confident this symposium will be of broad interest at Cognitive Science.

The symposium will consist of four talks, described below, by the leaders of the field. We will close with a panel discussion on some of these issues, led by Noah Goodman.

### **Compression and communication in the cultural evolution of linguistic structure**

*Kenny Smith, Olga Fehér, & Simon Kirby (Co-author)*

Language exhibits striking systematic structure. Words are composed of combinations of reusable sounds, and those words in turn are combined to form complex sentences. These properties make language unique among natural communication systems and enable our species to convey an open-ended set of messages. We provide a cultural evolutionary account of the origins of this structure. We show, using simulations of rational learners and laboratory experiments, that structure arises from a trade-off between pressures for compressibility (imposed during learning) and expressivity (imposed during communication). We further demonstrate that the relative strength of these two pressures can be varied in different social contexts, leading to novel predictions about the emergence of structured behaviour in the wild.

### **Adaptive group coordination and role differentiation**

*Robert L. Goldstone & Michael E. Roberts (Co-author)*

Many real world situations (potluck dinners, academic departments, sports teams, corporate divisions, committees, seminar classes, etc.) involve actors adjusting their contributions in order to achieve a mutually satisfactory group goal, a win-win result. However, the majority of human group research has involved situations where groups perform poorly

because task constraints promote either individual maximization behavior or diffusion of responsibility, and even successful tasks generally involve the propagation of one correct solution through a group.

Here we introduce a group task that requires complementary actions among participants in order to reach a shared goal. Without communication, group members submit numbers in an attempt to collectively sum to a randomly selected target number. After receiving group feedback, members adjust their submitted numbers until the target number is reached. For all groups, performance improves with task experience, and group reactivity decreases over rounds.

Our empirical results provide evidence for adaptive coordination in human groups, and as the coordination costs increase with group size, large groups adapt through spontaneous role differentiation and self-consistency among members. We suggest several agent-based models with different rules for agent reactions, and we show that the empirical results are best fit by a flexible, adaptive agent strategy in which agents decrease their reactions when the group feedback changes. The task offers a simple experimental platform for studying the general problem of group coordination while maximizing group returns, and we distinguish the task from several games in behavioral game theory.

### **The emergence of conventions in real-time environments**

*Robert Hawkins*

Why are some behaviors governed by strong social conventions while others are not? I will present data from real-time, multi-player experiments that explore factors involved in synchronic convention-formation across two domains: behavioral game theory and language understanding.

In the first domain, we manipulated two factors in a game of impure coordination: the continuity of interaction within each round of play and the stakes of the interaction. To maximize efficiency and fairness in this game, players must coordinate on one of two equally advantageous equilibria. We found that when stakes are low in a real-time environment, players can satisfactorily coordinate ‘on the fly,’ but when stakes are high, or information is scarce, there is increased pressure to establish and adhere to shared expectations that persist across rounds.

Second, we ran a series of closed group, natural language communication games where the speaker produces a referring expression to help the listener select a target stimulus from a set of three. The stimuli we used – colors, random splines, and tangrams – varied in the extent to which prior conventions exist, context is salient, and specific stimuli persist across the discourse environment. Exploratory analyses of these data begin to characterize the domain-general space of features that govern the emergence of local conventions.

### **Effects of inductive biases in iterated learning and coordination**

*Tom Griffiths*

There are two dominant approaches to modeling language creation: iterated learning, in which the structure of languages emerge as they are transmitted from learner to learner, and coordination games, where the structure of languages emerge as the result of a joint communication task. In both cases, a natural question to ask is how the inductive biases of learners – the factors that make some languages easier or harder to learn – affect which structures emerge. One way to explore this question is to model learning as Bayesian inference, and ask how the prior distribution of the learners influences the outcome of iterated learning or coordination. For iterated learning, the answer is that the languages that emerge directly reflect this prior distribution, or exaggerate the biases expressed in the prior. I will present results that show that an analogous property holds for the coordination setting: simply engaging in a collaborative learning task results in languages that reflect the prior distribution, and actively seeking to create languages that are mutually comprehensible exaggerates the prior.

### **References**

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